

Stroud District Council 2022-23 Internal Audit Progress Report - February 2023

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
Stroud District Council 2022-23 Internal Audit Progress Report - February 2023

Ref	Plan Quarter	Actual Quarter	Dept.	Audit	Comment	Risk	Status Now	Status Last Report	Comments
23	2	2 to 4	Communities	Cleaner Estates Strategy (Refuse)	Assurance	High	Field Work Started	Field Work Started	Deferred from 2021-22 Plan.
24	2	TBC	Communities	Housing Management System – Project Management	Assurance	High	Planned	Planned	Potential for audit deferral. Due to agreed new high priority activities within the 2022-23 Plan.
25	2	4	Communities	Housing Revenue Account (HRA) Delivery Plan	Assurance	High	Planned	Planned	Quarter 4 delivery agreed.
26	2	2 to 4	Communities	Leisure Facilities – The Pulse	Assurance	High	Field Work Started	Field Work Started	Leisure facilities activity split into two tranches - Stratford Park (Quarter 1) and The Pulse (Quarter 2).
27	2	2 to 4	Communities	Out of Hours Emergencies – Follow-Up	Assurance	High	Field Work Started	Field Work Started	SLT request for audit to be placed on hold as at January 2023. Scope change may be required.
28	2	4	Communities	Safeguarding	Assurance	High	Planned	Planned	Planning initiated within Quarter 2. Audit delivery to occur from Quarter 4.
29	2	4	Communities	Social Housing Decarbonisation Fund (Wave 1)	Assurance	High	Planned	Planned	Audit start held pending outcomes from the Demonstrator grant. Quarter 4 delivery to occur.
30	2	2 to 3	Place	Innovate to Renovate – Claim 2	Assurance	Medium	Final Report Issued	Draft Report Issued	Claim 1 and Claim 2 outcomes consolidated into one report. Reported to February 2023 Committee.
31	2	TBC	Place	ISO 14001 – Environmental Management System	Consultancy	Consultancy	Planned	Planned	Potential for audit deferral. Due to agreed new high priority activities within the 2022-23 Plan.
32	2	N/A	Resources	IT Procurement	Consultancy	Consultancy	Not Required	Planned	Risk assessment updated completed in year. Audit activity not required in 2022-23 due to levels of IT Procurement.
33	2	TBC	Resources	Member Expenses	Assurance	Medium	Planned	Planned	Potential for audit deferral. Due to agreed new high priority activities within the 2022-23 Plan.
34	2	4	Resources	Risk Management-Risk Assurance Map	Consultancy	Consultancy	Planned	Planned	Quarter 4 delivery agreed.
Work Planned for Quarter 3 2022-23									
35	3	N/A	Council Wide	Business Continuity	Assurance	High	Deferred	Planned	Strategic lead change has occurred. Audit deferred to 2023-24 Internal Audit Plan.
36	3		Council Wide	Contract Management Framework	Assurance	High	Planned	Planned	
37	3	N/A	Council Wide	Emergency Planning	Assurance	High	Deferred	Planned	Strategic lead change has occurred. Audit deferred to 2023-24 Internal Audit Plan.
38	3		Council Wide	Fit for the Future Programme	Assurance	High	Planned	Planned	Deferred from 2021-22 Plan.
39	3	N/A	Communities	Homelessness Prevention	Assurance	Medium	Deferred	Planned	Audit deferred to 2023-24 audit planning risk assessment due to agreed new high priority activities within the 2022-23 Plan.
40	3		Communities	Section 20 Leaseholder Service Charges	Assurance	High	Planned	Planned	
41	3		Place	Brimscombe Port	Assurance	High	Planned	Planned	
42	3	N/A	Place	Health and Safety Audits	Assurance	Medium	Deferred	Planned	Audit deferred to 2023-24 audit planning risk assessment due to agreed new high priority activities within the 2022-23 Plan.
43	3		Resources	Cash and Bank	Assurance	High	Planned	Planned	Potential for audit deferral. Due to agreed new high priority activities within the 2022-23 Plan.
44	3		Resources	Insurance	Assurance	High	Planned	Planned	
45	3	N/A	Resources	Government Procurement Cards	Assurance	Medium	Deferred	Planned	Audit deferred to 2023-24 audit planning risk assessment due to agreed new high priority activities within the 2022-23 Plan.

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46	3		Resources	Payroll and Pension Administration	Assurance	High	Planned	Planned	
Work Planned for Quarter 4 2022-23									
47	4		Communities	Housing Voids – Follow-Up	Assurance	High	Planned	Planned	
48	4		Place	Planning Enforcement – Follow-Up	Assurance	High	Planned	Planned	
49	4		Place	Sustainable Warmth Grant (Home Upgrade Grant Phase 1)	Assurance	High	Planned	Planned	
50	4		Place	Sustainable Warmth Grant (Local Authority Delivery Scheme Phase 3)	Assurance	High	Planned	Planned	
51	4	TBC	Resources	Council Tax – Opening Debits	Assurance	High	Planned	Planned	Potential for audit deferral. Service request for completion in 2023-24.
52	4		Resources	Covid 19 Business Grants – Post Payment Assurance	Assurance	High	Planned	Planned	Activity split into two tranches. This is tranche 2.
53	4		Resources	Election Payments	Assurance	High	Planned	Planned	Potential for audit deferral. Due to agreed new high priority activities within the 2022-23 Plan.
54	4	TBC	Resources	National Non-Domestic Rates (NNDR) – Opening Debits	Assurance	High	Planned	Planned	Potential for audit deferral. Service request for completion in 2023-24.
55	NEW		Resources	Right To Buy	Assurance	High	Planned	Planned	New activity.
56	4	TBC	Resources	Risk Management Follow-Up	Assurance	High	Planned	Planned	Potential for audit deferral. Based on recommendation target dates from original review.
57	4		Resources	Treasury Management and Ethical Investments Strategy	Assurance	High	Planned	Planned	
Work Planned for Throughout 2022-23									
58			Communities	Leisure Facilities – Local Authority Trading Company	Consultancy	Consultancy	Ongoing	Ongoing	
59			Counter Fraud	Counter Fraud	Assurance	High	Ongoing	Ongoing	Counter Fraud activity progresses throughout the year and is reported at each Committee.

Key:

	The audit has started or will start on time.
	The audit commencement has been or is likely to be delayed.
	The audit is not likely to be undertaken in this financial year.

TBC:	To be confirmed.
N/A:	Not applicable.